

OBM Office of Internal Audit

Annual Plan Status

Fiscal Year: 2016

Date Generated: Jun 16, 2016

Project Name	Project Description	Q1	Q2	Q3	Q4	Comment
2016-ADJ-01	Ohio National Guard Scholarship Program					
2016-DAS-01	Operations - Billing					(Q3) change to Q4 consulting project due to client updating their procedures
2016-DAS-02	State & Federal Surplus					(Q3) client requested project replacement. Project change to State Surplus assurance audit.
2016-DAS-03	State Employee Health Benefit Fund					(Q3) Client requested project replacement
2016-DAS-10	Liquor Modernization Project				S	(Q3)New project per client request.
2016-DAS-20	Business-IT Alignment					
2016-DAS-21	IT User Onboarding/Offboarding			S		
2016-DAS-22	IT Infrastructure - Perimeter Security			S		
2016-DAS-23	IT Security - Access Controls		S			
2016-DAS-24	Infrastructure Virtualization				S	
2016-AGE-01	Asset Management					
2016-AGE-20	IT Security - NIST			S		
2016-AGR-01	Consumer Lab					(Q2)Changed to consulting due to lack of defined process
2016-AGR-02	Food Safety					
2016-AGR-20	Business-IT Alignment					(Q1)Due to client delays, moved to Q2
2016-OBM-01	Accounting Operations - Revenue					
2016-OBM-02	Payment Card					(Q3) Project added to capture payment card data analysis procedures.
2016-OBM-03	CMIA/Budget Process					(Q1) Client requested project due to long term employees leaving the agency
2016-OBM-04	Green Book Consulting					(Q1) Project deferred until FY17.
2016-OBM-20	IT General Controls			S		
2016-COM-01	Administration - Voyager Card/Fleet					
2016-COM-02	State Fire Marshal - Forensics Lab					
2016-COM-03	Unclaimed Funds - Interest Calculation					
2016-COM-04	Liquor Control Licensing					(Q4) Added new project due to client request - will be reported in FY 17 [assurance]
2016-DSA-01	Federal Programs/Comm. Services (HEAP)					(Q1) Project deferred due to client request
2016-DSA-02	Financial Incentives/Tax Credits					(Q1) Project deferred due to client request
2016-DSA-03	PIPP Energy Assistance Program					(Q2)New project added based on client request/legislation

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2016-DSA-10	Asset Management					(Q2) Change to consulting due to lack of defined process
2016-DDD-01	Accounts Receivable					(Q2) requested by client
2016-DDD-02	Developmental Centers					
2016-DDD-03	Procurement/Voyager Card					(Q2) Client requested project replacement
2016-DDD-20	IT Onboarding					(Q2) Change to public record engagement
2016-DDD-21	IT Operations - Access Control		S			
2016-ODE-01	Straight A Program					(Q1)Q2 reporting for response time
2016-ODE-02	Student Support - Child Nutrition					(Q1) changed to consulting due to lack of process of reconciling commodity inventory
2016-ODE-03	School Foundations					(Q3) Deferred due to FY 15 AOS audit procedures; possible FY17 consulting project
2016-ODE-04	School for the Deaf and Blind					
2016-ODE-05	Child Nutrition Process Mapping					Q3) New project added based on client request [consulting]
2016-EPA-01	Accounts Receivable System					(Q2) With FIN as a possible solution, delayed to Q4 due to FIN upgrade priority
2016-EPA-02	Air Pollution: Title V Renewal Permit Process					
2016-EPA-20	IT Security - NIST					(Q1) Will be performed in FY 17 due to prioritization of A/R project
2016-ODH-01	Bureau of Children with Medical Handicaps					(Q3) issued consulting memo on results of data analysis
2016-ODH-02	Help Me Grow					
2016-ODH-10	Asset Management					
2016-ODH-20	Business-IT Alignment					
2016-ODH-21	Application Portfolio Risk	S				
2016-BOR-01	Adult Basic and Literacy Education Program					
2016-BOR-02	War Orphans Scholarship Program					
2016-BOR-20	Operations - HEI System				S	
2016-ODI-01	Onboarding/Off boarding Processes					
2016-ODI-02	Examinations - Risk Assessment Module					(Q3)Project changed to consulting due to change in system.
2016-ODI-10	Asset Management					(Q3) change to Q4 reporting due to client resources, also changed to public audit
2016-JFS-01	Child Care (Co-Payment Policy)					(Q4) project to be completed FY 17 Q1
2016-JFS-02	Food Assistance					

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2016-JFS-20	IT General Controls				S	
2016-JFS-21	TOP - IRS Consulting					(Q3)Added engagement due to agency request and urgent need. Will be reported in FY 17.
2016-JFS-22	Child Care Data Analytics					(Q2) Agency requested add'l procedures to complete in Q3.(Q3)deemed not to be a security record engagement
2016-JFS-23	Vendor Management Consulting					(Q1) Change to public audit (Q2) per agency request, extended to Q4
2016-JFS-24	Configuration Management					(Q3)Client requested a project replacement engagement
2016-OLC-01	Administration - General Accounting					
2016-OLC-02	Administration - Inventory					(Q4) Due to lack of quorum, will report in FY 17 Q1
2016-OLC-03	Video Lottery Terminal (VLT) reviews					
2016-OLC-20	Loyalty Program					(Q4) unable to perform engagement/contract gap
2016-OLC-30	Assurance Review					(Q4) Due to lack of quorum, will report in FY 17 Q1
2016-ODM-01	FFS and Managed Care Processes					(Q1)interim report in Q2
2016-ODM-10	Managed Care Plans (Systems Integration)					(Q4) Integration delays; moved to FY17
2016-ODM-20	IT General Controls				S	
2016-ODM-21	Business-IT Alignment					
2016-MHA-01	Hospital Services					(Q4) project start delayed; to be completed in FY 17 Q1 [assurance]
2016-MHA-20	Application Development Consulting	S				
2016-DNR-01	Wildlife					(Q3) Also issued separate consulting memo
2016-DNR-20	IT General Controls					(Q2) Agency requested deferral/backfill CIO
2016-DNR-21	IT Security - NIST					(Q3) Client requested deferral to FY 17 due to agency resources
2016-OOD-01	Administration - Voyager Card					(Q3) changed to consulting since agency is transitioning from self- to DAS-managed agency
2016-OOD-02	Asset Management					(Q4) Project removed based on client request.
2016-OOD-03	SEFA Reporting					(Q3)Project added due to client request.
2016-OOD-04	Green Book Framework					(Q4) Project added based on client request.
2016-DPS-01	EMA Subrecipient Monitoring					(Q2) Moved to Q4 per client request

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2016-DPS-02	BMV International Registration Plan					
2016-DPS-10	Bureau of Motor Vehicles - ATPS					(Q4) to be reported FY 17 Q1;(Q3) no longer a security record engagement [consulting]
2016-DPS-11	Asset Management					
2016-DPS-20	IT Security - NIST		S			
2016-PUC-01	Annual Assessment Data Collection Process					(Q4) will extend to FY 17;(Q3) Added per client request [consulting]
2016-PUC-20	IT General Controls					(Q3) Project postponed by client
2016-PUC-21	Business-IT Alignment					
2016-DRC-01	Community Residential Program - Grants Mgmt					
2016-DRC-20	IT Security - NIST	S				
2016-TAX-01	Sales Taxes			S		
2016-TAX-10	Asset Management					(Q2) changed to public record
2016-TAX-20	Vendor Management Consulting					(Q4) Not a security record engagement
2016-DOT-01	Contract Management					(Q4) due to lack of quorum, will report in FY 17 Q1
2016-DOT-02	Voyager Card/Fleet					(Q4) due to lack of quorum, will report in FY 17 Q1
2016-DOT-20	IT Application Development - ITGC	S				
2016-DOT-21	Security Controls	S				
2016-DVS-20	Business-IT Alignment					
2016-DVS-21	Security Controls	S				
2016-BWC-10	Asset Management					
2016-BWC-20	IT General Controls				S	
2016-BWC-21	CORE					(Q4) will be performed in FY 17 due to delay in implementation
2016-BWC-22	IT Security - SANS Top 20					(Q4) will be reported in FY 17 Q1;(Q1) changed to consulting
2016-BWC-30	Assurance Review					(Q2)Changed to Q3 per OIA resources
2016-DYS-10	Asset Management					
2016-DYS-20	Business-IT Alignment					
2016-DYS-21	Configuration Management					(Q3)Client requested to postpone to FY17

Assurance	Consulting	Security	Open/Added	Deleted
		S		