

## FISCAL YEAR 2015 ANNUAL PLAN STATUS - March 2015

PRJT	ENGAGEMENT	1	2	3	4	Comments (present quarter changes in red)
ADJ-01	Billiting and Event Facilities					
DAS-01	Rate Development					
DAS-02	Payroll Data Analysis					
DAS-03	Voyager Card					Q4 reporting due to client delays/preparation of management responses
DAS-20	SLA Enforcement Process			S		Moved from Q4 to Q3
DAS-21	IT Processes	S				
DAS-22	Security Policy Review				S	
DAS-23	Open Systems SSAE 16		S			
DAS-24	SOCC Incident Problem Change		S			
DAS-25	TSR Process			S		
AGE-01	Medicaid Provider Services					
AGE-20	Business-IT Alignment					
AGR-01	Payment Card					Also performed some consulting work for this project at client's request
AGR-20	IT Processes		S			
OBM	Shares Services A/P (A)					will be done in future FY due to OAKS upgrade effects on A/P process
OBM-02	OAKS upgrade/e-settlement					Management wanted process reviewed prior to implementation
OBM-03	Payment Card					
OBM-04	Federal Schedule Reconciliation					
OBM-05	Pre-Collections					carried over from FY 14 annual plan since service line pilot was delayed
OBM-20	Business-IT Alignment					Client request to add this project
CCC	Data Security (A)					per agency's request, this audit will not be performed in FY 15
CCC	Licensing (A)					client requested delay to FY 16 due to client operations
CCC-02	Voluntary Exclusion Program					client agreed to substitute this engagement for licensing
CCC-20	Access Control	S				
COM-01	Industrial Compl.Licensing/Permitting					
COM-02	Compliance-Based Programs (C)					New leadership-this project will be revised & performed in FY 15/16
COM-03	DFI Operations					
COM-04	Liquor Control - Special Project					Management requested process review/recalculation of taxes distributed
COM-20	Business-IT Alignment					
COM-21	IT Vendor & Asset Management				S	
DSA-01	Community Housing Improvmt Prgm					Change to consulting due to client system change
DSA-02	Third Frontier Program					Change to consulting (new pre-seed capitalization program)
DSA-03	Motion Picture Tax Credits					
DSA-20	Business-IT Alignment					
DSA-21	Access Control			S		
DSA-22	IT Vendor & Asset Management				S	
DDD-01	Developmental Centers					
DDD-02	Self-Waiver Program					
DDD-20	Business-IT Alignment					
EPA-01	Recycling Program					
EPA-02	Diesel Bus Program					
EPA-20	Business-IT Alignment					Moved project from Q3 to Q2 due to availability in schedule
ODE-01	Competitive Grant Award Process					Dept. of Education requested this work-change to Q3 due to client delays
ODE-02	Blind/Deaf Schools-Procure/Payroll					ODE requested for OSB/OSD oversight - new CFO for both schools
	CCIP Governance (C)					Agency requested delay of project to FY 16
ODH-01	Children with Medical Handicaps					ODH requested consulting engagement
	Healthy Ohio (A)					OIA decision not to perform audit in FY 16 due to lack of resources
ODH-02	Maternal Child Program					
ODH-03	Grant Application & Award					
	WIC EBT (C)					Consulting project delayed to FY 16-system proposal not yet completed
ODH-20	Business-IT Alignment					Agency is re-organizing - project will be completed in early FY 16
ODH-21	IT Security Processes			S		
ODI-01	Onboarding/Off-Boarding Process					
ODI-20	Business-IT Alignment					Moved project from Q3 to Q2 due to availability in schedule
JFS-01	Adoption/Foster Care					

JFS-03	Food Assistance									
JFS-10	UC Improper Payments									work continued from fiscal year 2014
JFS-20	Access Control				S					Move to Q3 in order to complete data-driven testing
JFS-21	Business-IT Alignment									
JFS-22	IT General Controls							S		
JFS-23	IT Vendor & Asset Management				S					
OLC-01	Video Lottery Terminal Reviews									
OLC-20	IT Processes							S		
OLC-21	Business-IT Alignment									
OLC-30	Assurance Provider Review									
ODM-01	Fraud and Abuse									Changed to assurance since no significant changes occurred
ODM-02	Home & Community-Based Prgms									
ODM-20	IT Security Processes				S					
ODM	IT Vendor & Asset Management (A)									After further evaluation with client, project was replaced by project below
ODM-21	Operationalizing Medicaid IT							S		New consulting project based on client request -moved to Q3
ODM-22	IT General Controls							S		Moved to Q4 due to follow-up needed on weakness determined by AOS
MHA-01	Procurement									Change to consulting since client decided to update procurement process
MHA-02	Budgeting & Reporting									Client request for consulting to address hospital collection process
MHA-20	Business-IT Alignment									
DNR-01	Federal Disbursement Process									
DNR-02	Oil and Gas Permitting									Q4 reporting due to client preparation of management responses
DNR-03	Clean Ohio Trail Reconciliation									small project requested by the agency
DNR-20	Business-IT Alignment									
OOD-01	Procurement/Contract Management									
OOD-02	Payment Card									Also performed some consulting work for this project at client's request
OOD-20	Business-IT Alignment									
DPS-01	Undercover Purchases									
DPS-02	Driving Suspension Process									
DPS-03	EMA Homeland Security									Added per Agency's request due to requirement by Federal EMA
DPS-20	IT Processes				S					
DPS-21	Business-IT Alignment									
PUC-01	Annual Report Filings									
PUC-02	Customer Complaints									
BOR-01	OETC Revenue									
BOR-02	Articulation & Transfer									
BOR-03	OTTA Operations									
BOR-10	SSI Review									work continued from fiscal year 2014
DRC-01	Local Funds									
DRC-02	Grant Monitoring									
DRC-20	Business-IT Alignment									Moved project from Q3 to Q2 due to availability in schedule
TAX-01	Cigarette Taxes								S	
TAX-02	Holding Funds							S		
TAX-20	Business-IT Alignment								S	
TAX-21	IT Security Processes								S	
DOT-01	Turnpike Bond Revenue									Changed to assurance
DOT-20	IT General Controls				S					
DOT-21	Business-IT Alignment									
DOT-22	IT Data Governance				S					
BWC-20	IT General Controls	S	S	S	S					
BWC-21	CORE Consulting								S	CORE implementation delayed-performing ongoing consulting activities
BWC-22	Business-IT Alignment									
BWC-30	Assurance Provider Review									
DVS-01	State Operations									
DYS-01	Procurement									
DYS-02	Fleet Management									
DYS-20	Business-IT Alignment									Move to FY 16 Q1 due to management change

Note: Security Record (S) per ORC 149.433

3/19/2015

Assurance (A) - blue Consulting (C) - canary  
 Deleted

## FISCAL YEAR 2015 ANNUAL PLAN STATUS - STATISTICS

	First	Second	Third	Fourth
PUBLIC REPORTS TO COMMITTEE	4	9	10	

### PERCENTAGE OF OIA AUDIT EFFORT:

ASSURANCE - PUBLIC REPORTS	27%	43%	49%	
ASSURANCE - SECURITY RECORDS	24%	37%	34%	
CONSULTING	49%	20%	17%	

### OIA STAFFING/ANNUAL PLAN STATUS:

OIA STAFFING LEVEL (23 Planned)	20.5	20	21	
ANNUAL PLAN BUDGET (34,771 hrs)	20%	41%	61%	
COMPLETION OF PLAN (102 audits)	23%	47%	74%	
PERSONAL SERVICES SPENT	21%	45%	71%	

#### Quarter 3 - 25 engagements

- 10 public reports - assurance
- 8 security record - assurance
- 2 Business-IT Alignment assessments
- 5 consulting engagements (1 security record)

#### Quarter 3 plan changes

- 2 changed from consulting to assurance (ODM-01, DOT-01); 1 assurance changed to consulting (DSA-01)
- 3 dropped engagements (CCC, 2 for ODH); 2 added engagements (DNR-03, DPS-03)
- 3 replacements (COM, ODE, ODH)

#### Quarter 4

- 29 engagements remaining
- (10 public reports, 3 of which are substantially complete)