

FISCAL YEAR 2014 ANNUAL PLAN STATUS - December 2013

AGY	ENGAGEMENT	First	Second	Third	Fourth	Comments
ADJ	State Payment Card					
DAS	Procurement Process					
DAS	Contract Management					
DAS	IT Project Management				Security Record	
DAS	IT Eligibility System				Security Record	
DAS	IT Security Standards				Security Record	
DAS	IT SOCC Remediation				Security Record	
AGE	Passport Incentive Payments					
AGR	Inspection Process					
OBM	Ohio Shared Services (OSS) A/P					
OBM	Debt Management					
OBM	OSS A/R and Pre-Collections					
COM	State Fire Marshal Revolving Loan					moved to Q2 per client
COM	Securities					
COM	Data Center/Liquor Modernization	Security Record				
DSA	Loan Program Controls					Name Change
DSA	Loan Processing Procedures					scope change, ph 1 & 2
DSA	Tourism					
DSA	TIP/CALF Loans					Name Change, Q3 move
DDD	Purchasing Process					moved to Q3
DDD	Administration					
DDD	IT Security & Vendor Management				Security Record	
EPA	District Office Check Handling					
EPA	Payroll Disbursement Testing					
ODH	Grants Processing					
ODH	IT Security Risk				Security Record	
ODH	Enterprise Risk Consulting					moved to Q3 per client
ODI	Consumer Services Division System				Security Record	moved to Q4 per client
JFS	IT General Controls		Security Record			
JFS	IT Security Assessment (SANS)				Security Record	
JFS	IT TOP Consulting				Security Record	Client request
JFS	Fiscal Services' Structure					moved to Q3
JFS	Child Support Self-Assessment					
JFS	Electronic Records Management					Name change
LOT	Video Lottery Terminal Reviews					
LOT	Assurance Provider Review (New)					review LOT IA
LOT	IT General Controls				Security Record	
ODM	IT General Controls		Security Record			
ODM	Transition Activities					2 memos
MHA	Office of Support Services					
MHA	Central Administration					
MHA	Opiate Addiction					
DNR	Soil & Water Division					
DNR	Oil and Gas Division					
OOD	Vocational Rehabilitation					moved to Q3
OOD	Business Enterprise Op. Agreements					
OOD	Voc Rehab Vendor Review (New)					
OOD	IT General Controls				Security Record	
DPS	Grant Administration					moved to Q3
DPS	IT Virtualization				Security Record	moved to Q3
DPS	EMA Operations					
DPS	State Highway Patrol					Change to Consulting

PUC	Renewable Energy					
BOR	OhioLINK Fiscal Agent Transition					moved to Q3 per client
BOR	Ohio College Opportunities Grant					
BOR	Integrated SSI Review				Security Record	moved to Q4
DRC	Contract Monitoring					Change to Consulting
DRC	IT General Controls				Security Record	
TAX	Personal Inc Tax Suspicious Filers	Security Record				
TAX	Financial Institution Tax				Security Record	
TAX	Taxation Audit Process		Security Record			
TAX	STARS Independent Verification				Security Record	
DOT	IT General Controls	Security Record				
DOT	Contract Monitoring - 3Ps					
DOT	Non-Federal Revenue Process					
DOT	Petty Cash Review					
BWC	IT General Controls	Security Record	Security Record	Security Record	Security Record	
BWC	Assurance Provider Review (New)					review BWC IA
BWC	CORE Consulting				Security Record	
DYS	Reclaim Funding					
DYS	Gift Card Process					
Note: Security Record per ORC 149.433.			Assurance		Consulting	

FISCAL YEAR 2014 ANNUAL PLAN STATUS - STATISTICS

	First	Second	Third	Fourth
PUBLIC REPORTS TO COMMITTEE	3	8		

PERCENTAGE OF OIA AUDIT EFFORT:

ASSURANCE - PUBLIC REPORTS	44%	40%		
ASSURANCE - SECURITY RECORDS	32%	32%		
CONSULTING	24%	28%		

OIA STAFFING/ANNUAL PLAN STATUS:

OIA STAFFING LEVEL (23 Planned)	22	20		
ANNUAL PLAN BUDGET (32,881 hours)	21%	39%		
COMPLETION OF PLAN (71 audits)	14%	42%		