

OIA REMEDIATION ACTIVITY

As of December 14, 2010

Moderate Risk Comment 2010-EPA-01-1		Issued: March 9, 2010
Agency:	Environmental Protection Agency	Recommendation: Management should develop a comprehensive, risk based monitoring program that aligns controls with risks associated with disbursing ARRA funds to subrecipients.
Report:	Clean Water and Drinking Water State Revolving ARRA Program Audit	
Comment:	Subrecipient Monitoring	
Completion Date:	August 30, 2010	
Status: Closed		Comments: None

Moderate Risk Comment 2010-EPA-01-2		Issued: March 9, 2010
Agency:	Environmental Protection Agency	Recommendation: Procedures should be developed that define the process of validating the subrecipients' non-financial information that is required for state and federal reporting.
Report:	Clean Water and Drinking Water State Revolving ARRA Program Audit	
Comment:	ARRA Reporting	
Completion Date:	August 30, 2010	
Status: Closed		Comments: None

Moderate Risk Comment 2010-DPS-03-1		Issued: June 15, 2010
Agency:	Public Safety	Recommendation: BMV management should perform timely reviews of all monitoring efforts performed on Deputy Registrars. The reviews should be evidenced in a manner that documents who performed the review, the date of the approval, and what was reviewed.
Report:	Bureau of Motor Vehicles Program Audit	
Comment:	Supervisory Review and Documentation	
Completion Date:	September 1, 2010	
Status: Closed		Comments: None

Moderate Risk Comment 2010-DEV-04		Issued: June 15, 2010
Agency:	Development	Recommendation: DEV should provide guidance and training to Division and Program personnel regarding Federal requirements over allowable use of federal funds. DEV should also document adherence to these guidelines during the reviews as a component in the approval of the expenditure.
Report:	ARRA - State Energy	
Comment:	Review of Expenditures	
Completion Date:	September 30, 2010	
Status: Closed		Comments: None

Moderate Risk Comment 2010-DEV-04		Issued: June 15, 2010
Agency:	Development	Recommendation: DEV should develop a comprehensive, risk-based monitoring program that prioritizes monitoring activities according to risk factors. DEV should supplement draft monitoring policies and procedures to include provisions to document and validate subrecipient adherence to ARRA program requirements.
Report:	ARRA - State Energy	
Comment:	Subrecipient Monitoring	
Completion Date:	September 30, 2010	
Status: Closed		Comments: None

Moderate Risk Comment 2010-DEV-04		Issued: June 15, 2010
Agency:	Development	Recommendation: DEV should continue to develop and implement formal procedures over the validation of financial and non-financial data. Additionally, DEV should implement procedures as they conduct onsite monitoring visits to validate the FTE data reported by its subrecipients.
Report:	ARRA - State Energy	
Comment:	ARRA Reporting	
Completion Date:	September 30, 2010	
Status: Closed		Comments: None