

## FISCAL YEAR 2014 ANNUAL PLAN STATUS - June 2014

AGY	ENGAGEMENT	First	Second	Third	Fourth	Comments
ADJ	State Payment Card					
DAS	Procurement Process					
DAS	Contract Management					to Q4/client delays
DAS	IT Project Management				Security Record	
DAS	IT Eligibility System			Security Record		to Q3 from Q4
DAS	IT Security Standards				Security Record	
DAS	IT SOCC Remediation				Security Record	
DAS	IT SOCC Mainframe (New)				Security Record	Client request
AGE	Passport Incentive Payments					
AGR	Inspection Process					
OBM	Ohio Marketplace (New)					project change/client req
OBM	Shared Services A/P					omitted from plan
OBM	Debt Management					
OBM	OSS A/R and Pre-Collections					to be issued FY 15 Q1
CCC	Data Security (New)				Security Record	new agency request
COM	State Fire Marshal Revolving Loan					
COM	Securities					
COM	Data Center/Liquor Modernization	Security Record				
DSA	Loan Program Controls					
DSA	Loan Processing Procedures					
DSA	Tourism					
DSA	TIP/CALF Loans					
DDD	Purchasing Process					
DDD	Administration (consulting)					to be removed/client req
DDD	IT Security & Vendor Management				Security Record	
EPA	District Office Check Handling					
EPA	Payroll Disbursement Testing					
ODH	Grants Processing					
ODH	IT Security Risk (consulting)					to be removed/client req
ODH	Enterprise Risk Consulting					removed/client declined
ODI	Consumer Services Division System					
JFS	IT General Controls		Security Record		Security Record	Q4 FY 15 work added
JFS	IT Security Assessment (consulting)					to be removed/client req
JFS	IT TOP Consulting			Security Record	Security Record	Client request/Q4
JFS	UC Improper Payments (New)					JFS reqst/FY15 Q1compl
JFS	Fiscal Services' Structure					
JFS	Child Support Self-Assessment					
JFS	Electronic Records Management					
LOT	Video Lottery Terminal Reviews					
LOT	Assurance Provider Review**					
LOT	IT General Controls				Security Record	
ODM	IT General Controls		Security Record			
ODM	Eligibility Deter. Sys. (consulting)					removed/DAS proj
ODM	Transition Activities (New addition)					federal reporting
MHA	Office of Support Services					
MHA	Central Administration					
MHA	Opiate Addiction					
DNR	Soil & Water Division					
DNR	Oil and Gas Division					
OOD	Vocational Rehabilitation *					to be removed/client req
OOD	Business Enterprise Op. Agreements					
OOD	Voc Rehab Vendor Review					
OOD	IT General Controls				Security Record	

DPS	Grant Administration					
DPS	Vendor Management (consulting)					removed per client
DPS	IT Virtualization			Security Record		
DPS	EMA Operations					
DPS	State Highway Patrol					
PUC	Renewable Energy					
BOR	OhioLINK Fiscal Agent Transition					
BOR	Ohio College Opportunities Grant					
BOR	Integrated SSI Review					Consulting/FY 15 Q1
DRC	Contract Monitoring					
DRC	IT General Controls				Security Record	
TAX	Personal Inc Tax Suspicious Filers	Security Record				
TAX	Financial Institution Tax				Security Record	
TAX	Taxation Audit Process		Security Record			
TAX	STARS Indep.Verification (consulting)					no work planned
DOT	IT General Controls	Security Record				
DOT	Contract Monitoring - 3Ps					
DOT	Non-Federal Revenue Process					
DOT	Petty Cash Review					
BWC	IT General Controls	Security Record	Security Record	Security Record	Security Record	
BWC	Assurance Provider Review**					
BWC	CORE Consulting				Security Record	
DYS	Reclaim Funding					
DYS	Gift Card Process					

Note: Security Record per ORC 149.433.

Assurance

Consulting

Key for color coding

Added

Deleted

\* project delayed until FY 15 due to federal RSA audit

\*\*Assurance reviews are not consulting or assurance engagements - they are quality reviews for reliance purposes

## FISCAL YEAR 2014 ANNUAL PLAN STATUS - STATISTICS

	First	Second	Third	Fourth
PUBLIC REPORTS TO COMMITTEE	3	8	5	6

### PERCENTAGE OF OIA AUDIT EFFORT:

ASSURANCE - PUBLIC REPORTS	44%	40%	11%	38%
ASSURANCE - SECURITY RECORDS	32%	32%	43%	30%
CONSULTING	24%	28%	46%	32%

### OIA STAFFING/ANNUAL PLAN STATUS:

OIA STAFFING LEVEL (23 Planned)	22	20	21	19
ANNUAL PLAN BUDGET (32,881 hours)	21%	39%	60%	81%
COMPLETION OF PLAN (82 audits)	14%	42%	63%	88%
PERSONAL SERVICES SPENT	26%	48%	69%	93%