

FISCAL YEAR 2014 ANNUAL PLAN STATUS - March 2014

AGY	ENGAGEMENT	First	Second	Third	Fourth	Comments
ADJ	State Payment Card					
DAS	Procurement Process					
DAS	Contract Management					to Q4/client delays
DAS	IT Project Management				Security Record	
DAS	IT Eligibility System			Security Record		to Q3 from Q4
DAS	IT Security Standards				Security Record	
DAS	IT SOCC Remediation				Security Record	
DAS	IT SOCC Mainframe (New)				Security Record	Client request
AGE	Passport Incentive Payments					
AGR	Inspection Process					
OBM	Ohio Marketplace (New)					project change/client req
OBM	Debt Management					
OBM	OSS A/R and Pre-Collections					
COM	State Fire Marshal Revolving Loan					
COM	Securities					
COM	Data Center/Liquor Modernization	Security Record				
DSA	Loan Program Controls					
DSA	Loan Processing Procedures					
DSA	Tourism					
DSA	TIP/CALF Loans					
DDD	Purchasing Process					
DDD	Administration (consulting)					to be removed/client req
DDD	IT Security & Vendor Management				Security Record	
EPA	District Office Check Handling					
EPA	Payroll Disbursement Testing					
ODH	Grants Processing					
ODH	IT Security Risk (consulting)					to be removed/client req
ODH	Enterprise Risk Consulting					moved to Q4 per client
ODI	Consumer Services Division System				Security Record	
JFS	IT General Controls		Security Record			
JFS	IT Security Assessment (consulting)					to be removed/client req
JFS	IT TOP Consulting			Security Record	Security Record	Client request/Q4
JFS	Fiscal Services' Structure					
JFS	Child Support Self-Assessment					
JFS	Electronic Records Management					
LOT	Video Lottery Terminal Reviews					
LOT	Assurance Provider Review					
LOT	IT General Controls				Security Record	
ODM	IT General Controls		Security Record			
ODM	Transition Activities (New addition)					federal reporting
MHA	Office of Support Services					
MHA	Central Administration					
MHA	Opiate Addiction					
DNR	Soil & Water Division					
DNR	Oil and Gas Division					
OOD	Vocational Rehabilitation					Q4 & consulting chng
OOD	Business Enterprise Op. Agreements					
OOD	Voc Rehab Vendor Review					
OOD	IT General Controls				Security Record	
DPS	Grant Administration					
DPS	IT Virtualization			Security Record		
DPS	EMA Operations					
DPS	State Highway Patrol					

PUC	Renewable Energy				
BOR	OhioLINK Fiscal Agent Transition				
BOR	Ohio College Opportunities Grant				
BOR	Integrated SSI Review				Security Record
DRC	Contract Monitoring				
DRC	IT General Controls				Security Record
TAX	Personal Inc Tax Suspicious Filers	Security Record			
TAX	Financial Institution Tax				Security Record
TAX	Taxation Audit Process		Security Record		
TAX	STARS Independent Verification				Security Record
DOT	IT General Controls	Security Record			
DOT	Contract Monitoring - 3Ps				
DOT	Non-Federal Revenue Process				
DOT	Petty Cash Review				
BWC	IT General Controls	Security Record	Security Record	Security Record	Security Record
BWC	Assurance Provider Review				
BWC	CORE Consulting				Security Record
DYS	Reclaim Funding				
DYS	Gift Card Process				
Note: Security Record per ORC 149.433.			Assurance		Consulting

FISCAL YEAR 2014 ANNUAL PLAN STATUS - STATISTICS

	First	Second	Third	Fourth
PUBLIC REPORTS TO COMMITTEE	3	8	5	

PERCENTAGE OF OIA AUDIT EFFORT:

ASSURANCE - PUBLIC REPORTS	44%	40%	11%	
ASSURANCE - SECURITY RECORDS	32%	32%	43%	
CONSULTING	24%	28%	46%	

OIA STAFFING/ANNUAL PLAN STATUS:

OIA STAFFING LEVEL (23 Planned)	22	20	21	
ANNUAL PLAN BUDGET (32,881 hours)	21%	39%	59%	
COMPLETION OF PLAN (75 audits)	14%	42%	63%	
PERSONAL SERVICES SPENT	26%	48%	69%	