



Dave Yost • Auditor of State

SUMMARY INFORMATION - OAKS SOC 1 REPORT

Audit Period:	July 1, 2011 – March 31, 2012
Opinion date of the report:	September 11, 2012
Release date of the report:	October 23, 2012
Users of the OAKS report:	Auditors of the State Agencies
Perspective to the State of Ohio:	Transactions material to state CAFR opinion units.
Scope of the SOC1 audit:	Examined and opined on (1) management's description of internal controls of the OAKS Human Capital Management (HCM) and Financials (FIN) systems, the internal controls of the DAS/OIT warrant writing (WW) system, and the internal controls of OBM's EFT payment system, (2) whether those controls were suitably designed to achieve the described control objectives, (3) whether such controls had been placed in operation, and (4) their operating effectiveness for the period.
Summary of Results:	Twenty-two general control and twenty-five application control objectives were tested. One control objective was not met: the OAKF FIN application control objective related to integrity of standing data.
Transmittal letter comments:	To be sent to management at a later date.

The Ohio Administrative Knowledge System (OAKS) is an online enterprise resource planning application that integrates the following major business functions for Ohio: capital improvements, financials, fixed assets, human resources, and procurement. The Department of Administrative Services (DAS), the Office of Budget and Management (OBM), the Office of Information Technology's (OIT) Infrastructure Services Division (ISD), and the Accenture Managed Service Vendor are all partners in the administration and operation of OAKS.

The entities submitting OAKS data include over 100 agencies, boards, and commissions. Major entities include the Department of Job and Family Services, the Lottery Commission, the Department of Administrative Services, the Department of Health, Department of Education, Office of Budget and Management, Department of Mental Health, Department of Health, Department of Public Safety, Department of Transportation, Department of Youth Services, Rehabilitation and Corrections, and Department of Developmental Disabilities.