



OIA INTERNAL ASSESSMENTS - QUALITY ASSURANCE REVIEW SUMMARY

Objective of quality assurance reviews: To determine OIA activities are conducted in accordance with the IIA's International Professional Practices Framework (IPPF) and offer suggestions and recommendations to enhance OIA operations.

Review period: Engagements completed between July 2011 and December 2011

Sample: Five of the 15 engagements completed during the period were reviewed. At least one engagement from each of the four audit chiefs was selected.

Project reviewed (Assurance or Consulting)

Administrative Services – COBRA Audit (Assurance)
Development – Coal Development Transfer (Consulting)
Agriculture – IT General Controls Audit (Assurance)
Transportation – IT General Controls Audit (Assurance)
EPA – Construction and Demo Debris (Consulting)

Summary rating from Quality Assurance Checklist

Review Category	Average Score
Engagement Planning (Section 2200 IPPF)	3.00
Engagement Objectives (Section 2210 IPPF)	2.92
Engagement Scope/Resource Allocation/Work Program (Sections 2220, 2230, and 2240 IPPF)	2.80
Performing the Engagement (Section 2300 IPPF)	3.00
Communicating Results (Section 2400 IPPF)	2.88
Overall Rating	2.92
Rating Grid: Achieved (3.0); Partially Achieved (2.0); Not Achieved (1.0)	