



**State Audit Committee
Office of Internal Audit Assessment**

Weight	Category	Measure 1. Above 2. Meets 3. Does Not Meet	Comment
50%	Work output and processes	Average	Summary
	<ul style="list-style-type: none"> Assesses risks and controls related to the Audit Committee's charge. Has an effective process for prioritizing audit work. Issues internal audit reports on a timely basis and in sufficient detail to allow for effective action by management. Keeps the Audit Committee apprised of the audit plan progress. Sufficiently completes internal audit plan activities. 	1.4	<ul style="list-style-type: none"> Very organized and professional staff conducive to productivity and results. Above in all categories. Is able to reprioritize work as risks change. ARRA funding is a good example.
25%	Management of resources		
	<ul style="list-style-type: none"> Has sufficient staff in terms of size, experience, and budgetary resources to meet the objectives of the state. Has professional certified staff. Has the technical knowledge to ensure that duties are performed correctly. Organizes resources to timely complete internal audit plan activities. 	1.8	<ul style="list-style-type: none"> Staff has ability to provide services at varying staffing levels. Need to monitor retention efforts? Leadership seems very capable – not exposed to staff below that level. I am concerned that audits to date have been too IT focused. Would like to see evidence of integrated audits.
25%	Leadership qualities		
	<ul style="list-style-type: none"> Determines the scope of work without limitations by management. Has adequate contact with management and the audit committee to ensure that appropriate responses are made to its recommendations and comments. Effectively provides adequate assurance in areas requested by the Audit Committee and brings forward significant issues to committee members that might not otherwise be disclosed. Maintains cordial business/professional relationships with appropriate stakeholders and audit professionals. 	1	<ul style="list-style-type: none"> Shows flexibility in dealing with changing nature of risks. Good relationship with stakeholders in a difficult environment. Item # 4 (professional relationships) would need to be verified by chairman. Very satisfied with the leadership. Above in all categories. Tries to work in collaboration with department management. Seems like audit recommendations taken seriously.