

Index of FY08 SAS 70 Audit Management Letter Recommendations

| | |
|----|---|
| 1 | Missing and incomplete HCM change documentation forms |
| 2 | Sensitive information in test environment |
| 3 | Testing not approved and testing documentation was not available |
| 4 | Unauthorized access to the test environments |
| 5 | Approval of changes prior to migration |
| 6 | Unauthorized PeopleSoft production permission list access |
| 7 | Unauthorized developer access to production environments |
| 8 | Missing program change comment cross references |
| 9 | PeopleSoft security violations reports were not being reviewed |
| 10 | Missing policy sign-offs |
| 11 | OAKS FIN user access approval and level of access |
| 12 | OAKS HCM user access approval and level of access |
| 13 | Terminated users still had access to OAKS |
| 14 | OAKS network user access approval and level of access |
| 15 | UNIX password parameters not in place |
| 16 | Unauthorized UNIX access |
| 17 | Unauthorized administrative users for the OAKS FIN and HCM applications |
| 18 | Unauthorized access to FIN, HCM, EPM, and CRM database accounts |
| 19 | UNIX SU logs not available |
| 20 | Access to OAKS project management office and server room was not restricted and monitored |
| 21 | Physical access to the SOCC computer room |
| 22 | SOCC quarterly review of badge access not documented for all agencies |
| 23 | Unauthorized access to the shared batch administrator account |
| 24 | No policies or procedures for authorization or implementation of batch processing change controls |
| 25 | No tracking or monitoring of off-site backup tapes that are recalled |
| 26 | Disaster recovery plan was not formalized or tested |
| 27 | Row-level security did not limit users to their agency data |
| 28 | Users had unauthorized access to the OHRL_OHIO and corrections row-level security |
| 29 | Duplicate HCM payments issued to employees |
| 30 | Payroll benefits not accurately coded in HCM |
| 31 | Manually entered combo codes were not limited to user's agency data |
| 32 | Requisition/purchase orders created and approved by same user |
| 33 | Unauthorized update access to standing FIN data |
| 34 | Chartfield changes not documented, approved, or input accurately |
| 35 | Physical security of warrant writing facility |
| 36 | Warrant writing fulfillment logs not reconciling to check logs |
| 37 | Lack of monitoring inventory of warrants |
| 38 | Missing FIN and HCM EFT reconciliation documentation |